

PERSONAL RETIREMENT SAVINGS ACCOUNT (PRSA)

Investment Questionnaire



To be signed by the Product Investment Manager

The safeguarding of PRSA assets is essential in the proper governance of the PRSA. As the Product Investment Manager you play an important role in that governance. For the purposes of the Pensions Act we will consider you as the Investment Manager for the assets outlined below.

There are very strict rules imposed by the Pensions Act on charges than can be taken from a PRSA. PRSA product charges are approved in advance and cannot be altered. Charges for the assets held by a PRSA are also restricted and the PRSA Provider must ensure that all charges on third party investments adhere to the regulations.

Please tick one of the following and proceed to the appropriate section and complete the questions. The PRSA is investing in:

- | | | |
|------------------------------|---|--------------|
| 1: Stockbroking Account | - | Go to Page 2 |
| 2: Structured Retail Product | - | Go to Page 3 |
| 3: Other | - | Go to Page 4 |

Warning: Please note that the provision of this service provided by Quest Retirement Solutions Ltd does not require licensing, authorisation, or registration with the Central Bank and, as a result, it is not covered by the Central Bank's requirements designed to protect consumers or by a statutory compensation scheme.

1. STOCKBROKING ACCOUNTS

STOCKBROKING DETAILS

Name of Stockbroker:

Address of Stockbroker:

Contact Name in Stockbroker: Telephone Number:

Regulatory Status and Jurisdiction:

INFORMATION TO BE CONFIRMED BY THE STOCKBROKER (TICK WHERE APPROPRIATE)

Are you able to open the account in the name of the PRSA?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Will you allow CFDs?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
If yes will this be restricted to the value of the account?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Will you provide Quest Retirement Solutions Ltd with explicit charging structure in advance of investing?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Will you ensure the all funds from the account are only released to the Quest Retirement Solutions PRSA?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Will you take instruction from Quest Retirement Solutions Ltd to close the account upon request?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Will you ensure that no funds are paid directly to the PRSA Contributor?	Yes <input type="checkbox"/>	No <input type="checkbox"/>

DECLARATION

I/We confirm that

- 1: No fixed charges apply to any of the investments above
- 2: No hurdle/performance fees are allowed
- 3: Quarterly valuations will be provided to Quest Retirement Solutions Ltd and more frequently upon request.
- 4: Only disclosed fees can be deducted as agreed with Quest Retirement Solutions Ltd.



Signed

Date: / /

Capacity

2. STRUCTURED RETAIL PRODUCT

STRUCTURED RETAIL PRODUCT DETAILS

Name of Product:	<input type="text"/>		
Product Provider:	<input type="text"/>		
Contact Name in Product Provider:	<input type="text"/>	Telephone Number:	<input type="text"/>
Address of Product Provider:	<input type="text"/>		
Regulatory Status and Jurisdiction:	<input type="text"/>		
Term of Product	<input type="text"/>		
Detailed Charges:	<input type="text"/>		

Please submit all investment documentation

DECLARATION

I/We confirm that

- 1: No fixed charges apply to the Structured Retail Product.
- 2: No hurdle/performance fees are allowed
- 3: Quarterly valuations will be provided to Quest Retirement Solutions Ltd and more frequently upon request.
- 4: Only disclosed fees can be deducted as agreed with Quest Retirement Solutions Ltd.



Signed

Date: /

Capacity

3. OTHER

DETAILS

Type of Product:	[Redacted]		
Product Provider:	[Redacted]		
Contact Name in Product Provider:	[Redacted]	Telephone Number:	[Redacted]
Address of Product Provider:	[Redacted]		
Regulatory Status and Jurisdiction:	[Redacted]		
Term of Product	[Redacted]		
Detailed Charges:	[Redacted]		

DECLARATION

I/We confirm that

- 1: No fixed / flat charges apply to the investment above
- 2: No hurdle/performance fees are allowed
- 3: Quarterly valuations will be provided to Quest Retirement Solutions Ltd and more frequently upon request.
- 4: Only disclosed fees can be deducted as agreed with Quest Retirement Solutions Ltd.



Signed

Date: D D / M M / Y Y Y Y

Capacity

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